

Investment Management

投資管理

Financial Postgraduate Programme Series

How can you keep up with the ever-changing world? Continuing education is the way to enhance your competitiveness. It is now the perfect timing for you to learn and enhance your competitiveness. This would be the best investment in yourself.

Our programmes cover various areas, including Investment Management, Financial Technology, Financial Engineering and Data Analytics.

[More details](#)



Master of Science in Finance and Data Analytics

Programme Code: FN058A

Application Code: 1950-FN058A

NCR⁴

2520 4612

mscfda@hkuspace.hku.hk

University of Essex x HKU SPACE

Master of Science in Finance and Data Analytics (MSc FDA), in collaboration with Essex Business School of the University of Essex, is a 2-year part-time taught postgraduate programme for those with interests in big data and data analysis who want to work in specialist roles within the finance and investments sector.

R Applicants should hold a Bachelor (Honours) Degree with a lower-second class honours (2:2) or above in a numerate discipline such as Economics, Finance, Mathematics, Statistics, Science or Engineering. The Degree should contain at least one module relating to Economics or Finance (e.g., Mathematics (calculus), quantitative finance/methods or Econometrics (probability, statistics)) with a score of 50% or a pass in the module.

If the bachelor degree has not been taught and examined in English, applicants should have IELTS 6.5 overall with a minimum component score of 5.5.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merit.

S GBP15,500 (for 20-credit module, the module fee is GBP1,722 per module; for 40-credit dissertation module, the module fee is GBP3,446 per module).

Programme fee with 2 exempted modules: GBP11,000 (for 20-credit module, the module fee is GBP1,571 per module; for 40-credit dissertation module, the module fee is GBP3,145 per module).

2 years to 4 years

English

Postgraduate Diploma in Applied Financial Engineering

Programme Code: FN041A

Application Code: 1935-FN041A

NCE
Modules

6



2867 8476

finpgd@hkuspace.hku.hk

Financial engineering requires the application of mathematical methods and information technology to analyze financial problems quantitatively. Financial engineers are responsible for creating structured financial products, building mathematical risk models as well as developing algorithmic trading system which facilitate effective investment decision making, managing financial and investment risk with quantitative modeling and computing technology. This programme is designed for those who plan to pave their career path as a financial engineer or quantitative analyst. The programme is taught by financial and investment practitioners, who are all senior professionals from investment banks or finance industry.

R Applicants shall:

- A) i) hold a bachelor's degree awarded by a recognized institution, with 3 years' relevant work experience; OR
- ii) possess a recognized qualification in financial industry such as CFA, FRM, etc. with 3 years' relevant work experience; and
- B) provide evidence of English proficiency (if the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English) such as:
 - i) an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
 - ii) a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
 - iii) HKALE Use of English at Grade E or above; or

See legend on page 1 & 9 圖像說明於第1及9頁

R Minimum Entry Requirements 基本入學要求 (P.300)

\$ Fee 學費

D Duration 修業期

E Exemption 豁免

Q Qualifications Framework 資歷架構

S Medium of Instruction 教學語言

iv) HKDSE Examination English Language at Level 3 or above; or
v) equivalent qualifications.

Applicants with other professional qualifications and relevant work experience will be considered on individual merit.

S HK\$4,300 per module
Application Fee: HK\$150

D 1 year to 2 years

E English

Q Level 6 (Reg. No.: 17/000061/L6) Validity Period: 13 Feb 2017 - on-going

Postgraduate Diploma in Finance and Data Analytics

Programme Code: FN050A

Application Code: 1945-FN050A

NCE
Modules

6



2867 8476

finpgd@hkuspace.hku.hk

The programme covers a wide range of knowledge in Statistical Analysis, Corporate Financial Management, Risk Analysis and Asset Allocation, as well as Investment and Portfolio Management, Financial Modelling and Analytics Software. Students will learn how to apply the knowledge and techniques used by market professionals and refresh their investment knowledge using big data.

R Applicants shall hold a bachelor's degree in quantitative areas (e.g., mathematics, engineering, statistics, computer science, economics, finance) awarded by a recognised institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- iii. HKALE Use of English at Grade E or above; or
- iv. HKDSE Examination English Language at Level 3 or above; or
- v. equivalent qualifications.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merit.

Remark: Applicants with relevant academic and/or professional qualifications may approach the Programme Team for application of exemption.

S HK\$9,000
Application Fee: HK\$150

D 1 year to 2 years

E English

Q Level 6 (Reg. No.: 20/000411/L6) Validity Period: 01 Jul 2020 - on-going

Postgraduate Diploma in Investment Management

Programme Code: FN018A

Application Code: 1945-FN018A

NCE
Modules

6



This Postgraduate Diploma is one of the most comprehensive courses offered by HKU SPACE in Investment Management. It is designed to follow the CFA syllabus and to focus on the current market trends and regulatory progression. This programme attracts a diverse group of students with background from finance, engineering, technology, business, management, government. Tutors are all market practitioners.

R Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

S HK\$7,600 per module (for Module 1-6: Analytical Tools for Investment Management, Financial Management, Debt Investments, Equity and Alternative Investments, Derivative Investments, Portfolio Management *course fees are subject to change without prior notice)
Application Fee: HK\$150

D 12 months to 18 months

 English

Q Level 6 (Reg. No.: 09/001881/6) Validity Period: 05 May 2008 - on-going

R Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency such as:

- an overall band of 6.0 with no subtests lower than 5.5 in the IELTS;
- a score of 550 in the paper-based TOEFL, or a score of 213 in the computer-based TOEFL, or a score of 80 in the internet-based TOEFL;
- HKALE Use of English at Grade E;
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

E Students may apply for exemptions of up to one module of the programme. Exemptions may be granted for individual module if the student has successfully completed a module of comparable content and standard. Application for exemptions will be deliberated and decided by the Programme Team.

S \$6,240 per module

Application Fee: HK\$150

D 12 months

 English

Q Level 6 (Reg. No.: 17/000492/L6)
Validity Period: 19 May 2017 - on-going

[More details](#)



Postgraduate Diploma in Investment Management and Financial Intelligence

Programme Code: FN044A

Application Code: 1945-FN044A

 2867 8476

 finpgd@hkuspace.hku.hk



The programme aims to:

- impart financial and investment management knowledge and skills to students to enhance their financial decision making;
- facilitate students to understand and analyze contemporary issues as well as the latest development in the financial world;
- prepare students to sit for the CFA examinations based on the Candidate Body of Knowledge;
- equip students with the latest technologies on Big Data and Artificial Intelligence for financial industry;
- stimulate students to apply financial intelligence to perform investment management.

R Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- i. an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- ii. a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- iii. HKALE Use of English at Grade E or above; or
- iv. HKDSE Examination English Language at Level 3 or above; or
- v. equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Remark: Applicants with relevant academic and/or professional qualifications may approach the Programme Team for application of exemption.

S HK\$7,600 per module
Application Fee: HK\$150

D 1 year to 2 years

 English

Q Level 6 (Reg. No.: 17/001054/L6) Validity Period: 01 Jan 2018 - on-going

Postgraduate Certificate in Banking and Corporate Treasury Management

Programme Code: FN043A

Application Code: 1955-FN043A

 2867 8322

 tmm@hkuspace.hku.hk



The target student groups for this programme are financial services practitioners who need to understand treasury from the customer's perspective and would like to pursue in-depth knowledge of banking and corporate treasury function in global financial markets. Practitioners such as front office dealers, specialists in treasury banking operations, corporate treasury specialists, treasury risk analysts, treasury management product analysts, treasury and pension officers, corporate treasury back office specialists, internal auditors in treasury operations, or consultants are suitable to attend the programme.

TMA will offer the TMA Level 2 (Full Member) membership to graduates who have successfully completed this programme.

NCR¹ This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。
It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR² These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

NCR³ It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Banking and Finance Programme Series

[More details](#)



Executive Diploma in Merger and Acquisition

Programme Code: EP020A

Application Code: 1935-EP020A

 2867 8476

 finedec@hkuspace.hku.hk



Executive Diploma in Merger and Acquisition provides an overview of the various aspects of M&A transactions and is particularly relevant to professionals who are engaged in corporate strategic planning, finance, business development and giving out legal advice.

R Applicants shall hold:

- a) a bachelor's degree awarded by a recognized University or equivalent;
- b) an Associate Degree / a Higher Diploma or equivalent and have at least 2 years of relevant working experience.

Applicants with other qualification and substantial senior level work experience will be considered on individual merit.

S HK\$7,000 per module

HK\$21,000 per programme

Application Fee: HK\$150

D 6 months

 English

Investment Management

投資管理

Executive Diploma in Investment Banking

Programme Code: EP021A

Application Code: 1940-EP021A

2867 8476

finedec@hkuspace.hku.hk



This programme provides an overview of the various aspects of the investment banking business and is particularly relevant to professionals who are engaged in corporate development and corporate finance functions within a commercial firm.

R Applicants shall hold:

- a) a bachelor's degree awarded by a recognized University or equivalent; or
- b) an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of relevant working experience.

Applicants with other qualification and substantial senior level work experience will be considered on individual merit.

S HK\$7,000 per module
HK\$21,000 per programme
Application Fee: HK\$150

D 6 months

English

Executive Diploma in Financial Decision Making

Programme Code: EP023A

Application Code: 1935-EP023A

2867 8476

finedec@hkuspace.hku.hk



This programme adopts case studies to provide non-financial executives with real life examples on the fundamentals of and practical skillsets in business finance and corporate accounting. This programme consists of four modules: two finance modules and two corporate accounting modules. Students can complete an executive diploma in a minimum of six months.

R Applicants shall hold:

- a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
- relevant and recognized professional qualifications in related business area; and have at least 3 years of work experience in the relevant industry. Or
- an Associate Degree / Higher Diploma or equivalent with at least 6 years of work experience in the relevant industry is required.

S HK\$3800 per module
Application Fee: HK\$150

D 8 months

English

Executive Diploma in Sustainable Finance and Investment

Programme Code: EP117A

Application Code: 1935-EP117A

2867 8476

finedec@hkuspace.hku.hk



The programme aims to give participants a holistic view of the latest development of sustainable finance and investment. The topics cover a wide range of hottest issues in this field from basic sustainable investment to more in-depth social venture capital. As this field is a cross-disciplinary study and still emerging, a practical approach is adopted to outline the mindset change of decision makers with real-life case studies.

Students may choose to exit the programme after completing Module 1 for an Executive Certificate award.

S HK\$8,000 per module
HK\$16,000 per programme
Application Fee: HK\$150

D 5 months

English supplemented with Cantonese

See legend on page 1 & 9 圖像說明於第1及9頁

R Minimum Entry Requirements 基本入學要求 (P.300)

S Fee 學費

D Duration 修業期

HK\$16,000 per programme

Fee 學費

Duration 修業期

Application Fee: HK\$150

HK\$16,000 per programme

Duration 修業期

5 months

HK\$16,000 per programme

Duration 修業期

Application Fee: HK\$150

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Duration 修業期

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Application Fee: HK\$150

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Application Fee: HK\$150

HK\$16,000 per programme

Duration 修業期

5 months

HK\$16,000 per programme

Duration 修業期

Application Fee: HK\$150

Chartered Financial Analyst Refresher Course - Level 2

Programme Code: FINA9278



Application Code: 1940-1413NW

2867 8468

cfa@hkuspace.hku.hk



This is a 10-hour short course to focus on CFA level 2 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

HK\$2,500

10 hours

English

Chartered Financial Analyst Refresher Course - Level 3

Programme Code: FINA9324



Application Code: 1955-2117NW

2867 8468

cfa@hkuspace.hku.hk



This is a 10-hour short course to focus on CFA level 3 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

R There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.

HK\$2,500

10 hours

English

Executive Certificate in Corporate Credit Management

Programme Code: EP075A



Application Code: 1935-EP075A

2867 8476

finedec@hkuspace.hku.hk



Executive Certificate in Corporate Credit Management aims to equip students with current knowledge and practical skills in managing credit risk of corporations. It covers both quantitative and qualitative analysis in corporate credit valuations including case study analysis. This course introduces credit analysis based on Cash Flow Based Lending doctrine which is the shift from the use of accrual based accounting information to the use of cash based financial information for lending decisions.

R Applicants shall hold:

- a) a bachelor's degree awarded by a recognized University or equivalent; or
- b) an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of working experience.

Students should have basic knowledge of Accounting and Microsoft Excel.

HK\$7,000 per programme
Application Fee: HK\$150

3 months

English

**NCR¹**

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR²

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR³

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Investment Management

投資管理

Certificate for Module (Examinations Preparatory Programme for Financial Risk Manager (FRM) Part I)

Programme Code: FN053A

Application Code: 1940-FN053A

2867 8322

pdfm@hkuspace.hku.hk



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This programme provides in-depth coverage of the four topics examined in the Financial Risk Manager (FRM) Part I examination and offers comprehensive examination preparation.

R Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Students are highly encouraged to check with the Global Association of Risk Professionals (GARP) for their eligibility of taking the FRM Part I Examination.

HK\$6,480

Application Fee: HK\$150

4 months

English

Q Level 6 (Reg. No.: 20/000614/L6) Validity Period: 15 Oct 2020 - on-going

Certificate for Module (Examinations Preparatory Programme for Financial Risk Manager (FRM) Part II)

Programme Code: FN054A

Application Code: 1940-FN054A

2867 8322

pdfm@hkuspace.hku.hk



6

This programme provides in-depth coverage of the four topics examined in the Financial Risk Manager (FRM) Part 2 examination and offers comprehensive examination preparation.

R Applicants shall hold a bachelor's degree awarded by a recognized institution.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Students are highly encouraged to check with the Global Association of Risk Professionals (GARP) for their eligibility of taking the FRM Part II Examination.

HK\$7,800

Application Fee: HK\$150

4 months

English

Q Level 6 (Reg. No.: 20/000615/L6) Validity Period: 15 Oct 2020 - on-going

See legend on page 1 & 9 圖像說明於第1及9頁

R Minimum Entry Requirements 基本入學要求 (P.300)

\$ Fee 學費

D Duration 修業期

EC Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

AFP Certification Refresher Course



Programme Code: FINA9293

Application Code: 1890-1144NW



2867 8468

cfa@hkuspace.hku.hk

This 10-hours' short course will help the students go through different type of questions step-by-step and the relevant tricks required to tackle these questions. Students are assumed to have the knowledge required for the AFP syllabus.

S HK\$2,500

D 10 hours

E English

股市大數據投資攻略



課程編號：FINA9306

報名代碼：1890-1561NW



2520 4612

finsc@hkuspace.hku.hk

課程介紹各種不同的取數方法來解讀公司狀態，如：盈喜盈警數據，績優績差數據，賣殼或私有化數據等等。香港交易所發布的本地股票市場數據及各種互聯網經濟數據複雜繁多，如何利用各種解讀方式從而判斷投資決策，系統式數據能有效增強投資收益。

S HK\$1,850

D 5 個星期

E 廣東話

Business Investment Decisions for Non-Financial Executives



Programme Code: FINA9020

Application Code: 1940-1313NW



2867 8476

finedec@hkuspace.hku.hk

This module is tailored for non-financial executives as an introduction to the concepts of financing and investment decisions. Key topics include Introduction to Financial Statement and Ratios, Time Value of Money, Discounted Cash Flow, Valuation of Bonds, Valuation of Stocks, Capital Budgeting, Risk and Return, and Portfolio Theory.

R Applicants shall hold:

- bachelor's degree awarded by a recognized University or equivalent; or
- an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of work experience; or
- a recognized professional qualification.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

S HK\$4,500

D 5 weeks

E English

Business Valuation and Financing



Programme Code: FINA9308

Application Code: 1940-1311NW



2867 8476

finedec@hkuspace.hku.hk

This module is designed to provide students with an understanding of the methods of business valuation. Topics include: an overview of the financing process, the pros and cons of using financial ratios and interpretations of financial statements, as well as a review of the shortcoming of capital budgeting tools.

For more and latest programme information, please visit our website
有關最新課程資訊及詳情，請瀏覽學院網站 hkuspace.hku.hk

R Applicants should:

- hold a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
- hold relevant and recognized professional qualifications in related business area; and have at least three years of work experience in the relevant industry. Or
- hold an Associate Degree/Higher Diploma or equivalent with at least 6 years of senior level work experience in the relevant industry is required.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

Executives working in other relevant industries are most welcome to apply.

\$ HK\$7,000**D** 5 weeks

English

**證書(單元：股票投資策略)**

課程編號：FN032A

報名代碼：1955-FN032A

2867 8322

clim@hkuspace.hku.hk



近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對沖基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市場的投資策略。

R 申請人必須：

- a) 於香港中學會考獲5科E級，包括於中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科第2級(2007年或以後)；或
- 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

\$ HK\$3,850**D** 3個月

粵語

Q 資歷架構級別：3 資歷名冊登記號碼：15/002754/L3
資歷名冊登記有效期：2015年7月24日 - 持續有效**證書(單元：外匯、衍生工具、結構性產品及對沖基金交易策略)**

課程編號：FN034A

報名代碼：1890-FN034A

2867 8322

clim@hkuspace.hku.hk



近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對沖基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市場的投資策略。

R 申請人必須：

- a) 於香港中學會考獲5科E級，包括於中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科第2級(2007年或以後)；或
- 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

\$ HK\$5,100**D** 3個月

粵語

Q 資歷架構級別：3 資歷名冊登記號碼：15/002753/L3
資歷名冊登記有效期：2015年7月24日 - 持續有效**證書(單元：金融技術及趨勢分析)**

課程編號：FN033A

報名代碼：1890-FN033A

2867 8322

clim@hkuspace.hku.hk



近年金融市場瞬息萬變，環球經濟充斥著很多不穩定因素，投資者要於日趨複雜的投資市場獲利，便須進一步了解各種投資產品，並運用不同的交易策略以增加投資回報及避險。本課程除包含股票、外匯、衍生產品及對沖基金的投資理論外，更引用不同實例講解複雜的交易策略，務求以深入淺出的方法讓學員掌握不同市場的投資策略。

R 申請人必須：

- a) 於香港中學會考獲5科E級，包括於中國語文科(2007年或以前)；或
- b) 於香港中學會考獲4科E級及中國語文科第2級(2007年或以後)；或
- 於香港中學文憑考試獲5科第2級，包括中國語文科。

申請人如持有其他同等學歷及21歲以上或擁有3年相關工作經驗者將會作個別考慮。

\$ HK\$3,850**D** 3個月

粵語

Q 資歷架構級別：3 資歷名冊登記號碼：15/002755/L3
資歷名冊登記有效期：2015年7月24日 - 持續有效**衍生工具**

課程編號：FINA9008

報名代碼：1935-1127NW

2520 4612

finsc@hkuspace.hku.hk



本課程旨在幫助投資者瞭解衍生工具的基本知識，從而減少投資風險及增加收益。課程內容包括：衍生產品市場概覽、認股證、恒指輪證、牛熊證、期貨、遠期市場和利用期貨套期保值、掉期、期權市場、衍生工具交易策略，以及結構產品。

\$ HK\$1,850**D** 5個星期

以粵語為主

**Due Diligence, Tax and Accounting Issues in M&A**

Programme Code: FINA9312

Application Code: 1955-1961NW

2867 8476

finedec@hkuspace.hku.hk



This module is designed to provide an overview of the accounting and tax aspects with issues encountered in the merger and acquisition exercises nowadays and the related diligence process.

R Applicants should:

- hold a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
- hold relevant and recognized professional qualifications in related business area; and have at least 3 years of work experience in the relevant industry. Or
- hold an Associate Degree/Higher Diploma or equivalent with at least 6 years of senior level work experience in the relevant industry is required.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

Executives working in other relevant industries are most welcome to apply.

\$ HK\$7,000 per module**D** 5 weeks

English

**NCR1**

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。 It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 計程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Investment Management

投資管理

股票及投資技術分析

課程編號 : FINA9010

S

報名代碼 : 1935-1135NW

2520 4612

finsc@hkuspace.hku.hk



本課程旨在協助投資者掌握正確的股票投資技巧。課程內容包括：基本分析、技術分析、圖表應用實例、股票買賣的技巧、基礎期權理論、期權策略，以及如何應付牛皮市、熊市和大牛市。

HK\$1,680

5個星期

以粵語為主；教材以中文為主

八字五行易卦風水論金融投資運勢

課程編號 : FINA9288

S

報名代碼 : 1890-1642NW

2520 4612

finsc@hkuspace.hku.hk



本課程旨在介紹八字，五行，易卦，風水一同牽引金融投資運勢。透過八字風水與投資金融概念混合，讓同學可以從新的角度掌握投資技巧！

HK\$1,850

5個星期

粵語

中小企財務管理

課程編號 : FINA9332

S

報名代碼 : 1935-1714NW

2520 4612

finsc@hkuspace.hku.hk



本課程為30小時短期課程，旨在教授學員認識中小企公司財務管理的知識，應用財務分析的方法，決定投資、借貸方向，讓公司不斷成長。此外，講者將分享財務管理實務，以訂立平衡的財務策略。

HK\$4,200

5個星期

粵語

外匯投資攻略

課程編號 : FINA9320

S

報名代碼 : 1935-1739NW

2520 4612

finsc@hkuspace.hku.hk



本課程結合經濟數據分析、技術分析以及風險管理，協助對外匯投資有興趣的人士了解匯市結構和操作。龐大的資金流動性、高度靈活性、以及24小時全天候運作，使外匯市場成為全球交易量最高的金融工具。

HK\$1,850

4個星期

以粵語為主；教材以英語為主

See legend on page 1 & 9 圖像說明於第1及9頁

R Minimum Entry Requirements 基本入學要求(P.300)

\$ Fee 學費

D Duration 修業期

E Exemption 豁免

Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

Fundamentals of Investment Banking

S

Programme Code: FINA9310

Application Code: 1960-1105NW



2867 8476

finedec@hkuspace.hku.hk

This module is designed to provide students with an overview of the various aspects of the investment banking business. It will cover the role and major functions of investment banks, review the strategic issues faced by investment banks, identify the process in which investment banks issues securities to the public, the deal process, risks of investment banks, the role of the underwriting syndicate and fee structure, and review the concepts of various corporate finance transactions, such as initial public offerings, as well as mergers & acquisitions.

R Applicants should:

- hold a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
- hold relevant and recognized professional qualifications in related business area; and have at least three years of work experience in the relevant industry. or
- hold an Associate Degree/Higher Diploma or equivalent with at least 6 years of senior level work experience in the relevant industry is required.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

Executives working in other relevant industries are most welcome to apply.

\$ HK\$7,000 per module

D 5 weeks

English

S

全球經濟數據解讀

課程編號 : FINA9294

報名代碼 : 1940-1390NW



2520 4612

finsc@hkuspace.hku.hk

本課程將討論不同國家經濟數據的含義及其對期望與實際公佈的影響，並強調這些數據如何影響股票，利率和貨幣市場。

\$ HK\$1,850

D 4個星期

以粵語為主；教材以英語為主

S

香港統計在商業上的應用

課程編號 : FINA9011

報名代碼 : 1945-2989NW



2520 4612

finsc@hkuspace.hku.hk

本課程旨在讓經理級人員、行政人員及策劃人員深入瞭解香港現有的統計資料，以及如何將這些資料應用於商業及預測上。

\$ HK\$1,850

D 5個星期

主要以廣東話講解



如何閱讀財務報表

課程編號 : ACCT9075



報名代碼 : 1935-1716NW



2520 4612

finsc@hkuspace.hku.hk

本課程旨在教授閱讀及分析財務報表，讓學員認識及瞭解基本財務概念和公司財務狀況。課程內容包括：會計報表基本準則及基本法規；公司盈利分析及預測，以及引用市場實例以作解釋。

HK\$1,850

5個星期

以粵語為主 (教材以英語為主)

如何閱讀財務報表進階班

課程編號 : ACCT9222



報名代碼 : 1890-1238NW



2520 4612

finsc@hkuspace.hku.hk

「如何閱讀財務報表進階班」課程旨在深入理解財務報表，課程將會使用財務報表作案例研究，並會教授資產、負債、權益、收入、費用等概念，如何為個體公司和集團企業編制通用的財務報告。此外，課程也涵蓋特定課題：納稅的影響，需披露的資料，資產重估，應用程序和財務報表的分析。

HK\$1,850

5個星期

以粵語為主 (教材以英語為主)

Interpretation of Financial Statements

Programme Code: ACCT9023



Application Code: 1945-2869NW



2867 8476

finedec@hkuspace.hku.hk

This module is an introduction to corporate accounting for non-financial executives. Topics include the theoretical concepts and practices involved in the recognition and subsequent accounting for assets, liabilities, equity, revenues, expenses and preparation of general-purpose financial reports for both single companies and groups of companies.

R Applicants shall hold:

- a bachelor's degree awarded by a recognized University or equivalent; or
- an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of work experience; or
- a recognized professional qualification.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

HK\$4,500 per module

4 weeks

English



投資管理

課程編號 : FINA9009



報名代碼 : 1940-1387NW

2520 4612

finsc@hkuspace.hku.hk

此為一精要而實用之投資課程，內容豐富實用，旨在協助投資人士掌握股票投資技巧及認識各種股票市場的衍生工具，運用期權對沖風險，現金流的運用技巧去控制投資風險，有助投資者尋找入市良機。

HK\$1,850

5個星期

以粵語為主；教材以中文為主



IPO, Private Equity and Hedge Funds

Programme Code: FINA9309



Application Code: 1940-1105NW

2867 8476

finedec@hkuspace.hku.hk

This module is designed to provide students with an understanding of the processes involved in structuring and executing IPO, Private Equity and Hedge Fund transactions. Topics include: a review of the bookbuilding and underwriting processes, case studies of IPO, Private Equity and Hedge Fund transactions, as well as legal and regulatory issues related to IPO, Private Equity and Hedge Funds.

R Applicants should:

- hold a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
- hold relevant and recognized professional qualifications in related business area; and have at least three years of work experience in the relevant industry. or
- hold an Associate Degree/Higher Diploma or equivalent with at least 6 years of senior level work experience in the relevant industry is required.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

Executives working in other relevant industries are most welcome to apply.

HK\$7,000 per module

5 weeks

English



西方數字學論經濟金融投資運勢

課程編號 : FINA9322



報名代碼 : 1940-1382NW

2520 4612

finsc@hkuspace.hku.hk

本課程旨在認識生命能量數字學的分析方法，了解個人的性格及心理特質，營造良好的個人發揮方向和團體合作方案及提升工作效率成果，應用數字的力量在經濟、金融、投資及管理的商業範疇，從而令財富有所提升。

HK\$1,850

2個星期

以粵語為主；教材以中文為主

NCR¹

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR²

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。 It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR³

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

Investment Management

投資管理

Performance Management and Internal Controls

Programme Code: ACCT9022



Application Code: 1955-1963NW

2867 8476

finedec@hkuspace.hku.hk

This module is tailored for non-financial executives as an introduction to the concepts of cost analysis for management decisions. Topics include budget for control, standard costing and variance analysis using flexible budgets for material, labour and overhead, sales analysis using quantity, mix and volume analysis.

R Applicants shall hold:

- bachelor's degree awarded by a recognized University or equivalent; or
- an Associate Degree / a Higher Diploma or equivalent, and have at least 2 years of work experience; or
- a recognized professional qualification.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

S HK\$4,500 per module
Application Fee: Free

D 4 weeks

English

投資風險管理



Programme編號 : FINA9012

報名代碼 : 1935-1489NW

2520 4612

finsc@hkuspace.hku.hk



本課程旨在協助投資者掌握風險管理知識及技術，並配合策略加以運用。
初次介紹：1. 什麼是風險 2. 如何量化風險 3. 套期保值的概念 4. 交易所買賣基金，
5. VIX “恐慌指數產品”。減低投 資風險，增加投資回報。

S HK\$1,850

D 5個星期

以粵語為主

Strategic Issues of Merger and Acquisition



Programme Code: FINA9311

Application Code: 1935-1562NW

2867 8476

finedec@hkuspace.hku.hk



This module is designed to enable participants on understanding the major strategic issues involved in M&A process. The module will focus on business oriented considerations in any M&A activities. At the end of the module, the participants are expected to have developed a sense of appreciation of the significant issues affecting the success and failure of M&A.

R Applicants should:

- hold a bachelor's degree in the relevant discipline(s) awarded by a recognized university; or
- hold relevant and recognized professional qualifications in related business area; and have at least three years of work experience in the relevant industry. or
- hold an Associate Degree/Higher Diploma or equivalent with at least 6 years of senior level work experience in the relevant industry is required.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merits.

Executives working in other relevant industries are most welcome to apply.

S HK\$7,000 per module

D 5 weeks

English

See legend on page 1 & 9 圖像說明於第1及9頁

R Minimum Entry Requirements 基本入學要求(P.300)

S Fee 學費

D Duration 修業期

EC Medium of Instruction 教學語言

Q Qualifications Framework 資歷架構

E Exemption 豁免

財富增值系列

課程資訊



為了回應學員們的學習需要及對投資知識的濃厚興趣，香港大學專業進修學院推出一系列有關財富增值的短期課程，包括債券投資心法、指數指標投資攻略、大時代下之股票投資及技術分析、衍生工具投資解碼、穩健投資組合、逆境投資攻略、ETF投資攻略、ESG投資法及選股價值投資法。

證書(單元：財富增值系列：ETF投資攻略)

課程編號 : FN051A



報名代碼 : 1935-FN051A

2520 4612

finsc@hkuspace.hku.hk

課程旨在介紹上市交易所買賣基金(ETF)的投資特性，辨別所持資產類別(例如指數、股票、債券等)，讓學員認識ETF作為環球投資的方法及分散風險的概念。完成課程後，學員能夠了解ETF投資策略，選擇適合自己的ETF產品作為投資部署，專業講者亦會分享其ETF交易實戰投資心得。

S HK\$5,100

D 5個星期

粵語

Q 資歷架構級別 : 3 資歷名冊登記號碼 : 20/000583/L3

資歷名冊登記有效期 : 2020年9月1日 - 持續有效

證書(單元：財富增值系列：ESG投資法)

課程編號 : FN059A



報名代碼 : 1940-FN059A

2520 4612

finsc@hkuspace.hku.hk

課程旨在教授學員認識環境、社會和管治(Environmental, Social and Governance (ESG))的概念與因素，了解有關ESG投資機遇與可持續發展投資市場及產品，研究企業在環境、社會和管治方面的表現及洞悉其投資價值。此外，本課程也會指導學員就有關環境、社會和管治及可持續投資的產品進行分析及選擇。

S HK\$5,100

D 5個星期

粵語

Q 資歷架構級別 : 3 資歷名冊登記號碼 : 20/000583/L3

資歷名冊登記有效期 : 2020年9月1日 - 持續有效

證書(單元：財富增值系列： 選股及價值投資法)

課程編號 : FN052A



報名代碼 : 1890-FN052A

2520 4612

finsc@hkuspace.hku.hk

課程旨在教授學員如何運用基本分析方法研究上市公司股票的內在價值，應用股票價值評估方法以選擇公司股票。此外，也會指導學員對作為投資目標的上市公司進行分析及作出財務預測，並討論價值投資方法及股票投資策略。

S HK\$5,100

D 5個星期

粵語

Q 資歷架構級別 : 3 資歷名冊登記號碼 : 20/000584/L3

資歷名冊登記有效期 : 2020年9月1日 - 持續有效

財富增值系列：穩健投資組合



課程編號：FINA9349

報名代碼：1890-1653NW

2520 4612

finsc@hkuspace.hku.hk



本課程為財富增值系列中的一個短期課程，旨在讓學員認識不同的投資工具，例如股票、債券、外匯、交易所買賣基金(ETF)等，了解個人的投資目標及限制，訂立個人投資計劃，建立及管理好個人投資組合，當上自己的個人基金經理，讓投資組合提供穩定回報。此外，在課程中講者將分享不同的投資策略和有關例子，以助學員設計適合個人的穩健投資組合。

HK\$2,500

5個星期

以粵語為主；教材以中文為主



財富增值系列：指數指標投資攻略

課程編號：FINA9336



報名代碼：1935-1744NW

2520 4612

finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在教授學員認識常見的經濟指數和財經指標，並了解其背後的投資啟示，以幫助投資者制定投資策略。此外，講者將分享技術指標的應用，以協助作出精明的投資決策。

HK\$2,500

5個星期

以粵語為主；教材以中文為主

財富增值系列：債券投資心法



課程編號：FINA9337

報名代碼：1940-1394NW

2520 4612

finsc@hkuspace.hku.hk



本課程為財富增值系列中的一個短期課程，旨在向學員學習固定收入證券投資的概念及術語，認識不同的債券產品，了解固定收入證券投資中潛在的風險及回報，以幫學員實現更好的投資決策。

HK\$2,500

5個星期

以粵語為主；教材以中文為主



財富增值系列：大時代下之股票投資及技術分析

課程編號：FINA9338



報名代碼：1890-1668NW

2520 4612

finsc@hkuspace.hku.hk

本課程為財富增值系列中的一個短期課程，旨在向學員介紹有關挑選持有升值潛力的上市公司及賣出股價有下跌可能的方法，觀察股票市場的投資情緒，並運用技術分析以助制定買賣決策。

HK\$2,500

5個星期

以粵語為主；教材以中文為主

財富增值系列：衍生工具投資解碼



課程編號：FINA9339

報名代碼：1890-1643NW

2520 4612

finsc@hkuspace.hku.hk



本課程為15小時短期課程，旨在向學員介紹期權及結構性產品投資的概念及術語，專業講者將會分析當前在貿易戰下的投資環境，讓學員認識期權的運作及不同類型的結構性投資產品，並了解有關衍生工具及投資產品的潛在風險及回報，專業講者亦會分享期權及結構性投資產品的投資心得，以幫學員實現更好的投資決策。

HK\$2,500

5個星期

以粵語為主；教材以中文為主

財富增值系列：逆境投資攻略



課程編號：FINA9350

報名代碼：1935-1732NW

2520 4612

finsc@hkuspace.hku.hk



本課程為15小時短期課程，旨在向學員分析在逆境下環球經濟的形勢及相關的投資機會，了解競爭策略、五力模型及價值鏈分析，分享著名投資大師的投資策略，選擇有升值潛力的板塊及行業以作長遠投資。此外，在課程中講者將分享投資策略和有關例子，以助學員作出宏觀經濟分析及制定環球投資決策。

HK\$2,500

5個星期

以粵語為主；教材以中文為主

NCR1 This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2 These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance, according to the Non-Local Higher and Professional Education (Regulation) Ordinance, 2018. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。

It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。